

[ESG Risk Alert Raises the CCO Bar](#)

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Compliance teams must be up to speed on what makes a fund or its holdings ESG-friendly, lawyers and compliance professionals say. Compliance teams must make sure that shops align their portfolio management for environment, social and governance funds, with their marketing and advertising materials, according to a Securities and Exchange Commission's Division of Examinations risk alert published on April 9, 2021.

Simply put, compliance officers must ensure that all facets of their firm's business that might have some ESG strategy or practice are consistent, says Douglas Kamin, managing director at Foreside Financial Group.

[Click here](#) to learn more about why the industry is saying the bar for CCOs is rising from Ignites.