

[Compliance Connections Webinar: The Fiduciary Rule Rides Again](#)

November 10, 2021

During this webinar our panelists from Foreside and [The Wagner Law Group](#) discussed the DOL's Fiduciary Rule, including:

- The DOL's new interpretation of the term fiduciary and how it affects investment advisors that provide rollover advice
- The criteria investment professionals should be looking at when making rollover recommendations
- Suggestions on how investment advisors can comply with Impartial Conduct Standards
- Recommendations for policies and procedures to adopt and comply with the exemption
- Practical advice for dealing with deferral of deadline date for compliance

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